

surgical procedures, [REDACTED] will be used for repeated measurements in the control IP injection study, acute pump infusion experiment, and weekly pump infusion experiment. After 30 days, histological tissue analysis will be performed on dissected tissue surrounding the micropump. [REDACTED] studies described here are subcontracted to [REDACTED] which has access to the bioprinting core, the animal imaging core facility, and the histological core services.

**Expected outcomes, potential problems, and solutions:** Catheter-based delivery into the intravenous, intraventricular, intracerebral, and intrathecal spaces typically does not experience clogging. Delivery into the subcutaneous, IP, intracranial, intramuscular, and intrasternal spaces may occasionally be at risk to clogging. Catheter lock solution (heparin-glucose [REDACTED] (LH)) can also be used to maintain catheter patency. Proper catheter selection and pretreatment of the inner lumen have been demonstrated to address these concerns. [REDACTED]

[REDACTED] An alternative approach to maintain catheter patency is to apply a small continuous sub-fractional flow rate to prevent stagnation around the catheter tip.

#### 0.4 Timeline

The proposed work consisting of three years over two years which will accelerate development efforts towards in vivo validation studies planned in Phase 2 where clinically relevant results in statistically significant preclinical studies will create the momentum for highest impact of the technology.

Table 1: Timeline

Task	Year 1 (Q1-Q4)	Year 2 (Q1-Q4)	Year 3 (Q1-Q4)
Preclinical studies (IP)	Q1-Q4		
In vivo studies (IP)		Q1-Q4	
In vivo studies (IV)			Q1-Q4

#### 0.5 Commercialization Goals

**Market Entry:** Establishing manufacturing techniques, satisfying performance specifications, and successful demonstration of dosing in vivo will significantly advance our product and establish our customer base. We have established a website ([www.fluorion.com](http://www.fluorion.com)) and presented at a number of conferences/shows. We have shipped our prototype systems to early adopter groups including [REDACTED] and industry [REDACTED]. We are working to [REDACTED] and [REDACTED] for our evaluation kits.

**Strategic Partnerships:** [REDACTED] a premier worldwide clinical research organization, has expressed interest in a cross marketing partnership. [REDACTED] an established worldwide distributor for implantable catheters, neural access tools, and infusion kits, is ready to sell and distribute our Fluorion™ micropump system. [REDACTED] wants to bundle our system with their wireless structural monitoring products for widely tested small animals for a closed-loop dosing setup. [REDACTED]

[REDACTED] an international manufacturer, wants to finance and co-develop our products.

**Intellectual Property and Licensing:** IP is protected under two awarded patents, one application with all claims allowed, and seven non-provisional applications. An exclusive license has been established with [REDACTED]

**Long term strategy:** We are developing medium [REDACTED] ( $\mu$ L) and large volume [REDACTED] ( $\mu$ L) pumps for larger animals and to enter the adjacent clinical research organization (CRO) in animal research models, 10% CAGR) and primary care (50% spent directly on pain medication and treatment, 0% CAGR) markets. Researchers at the [REDACTED] and local veterinary hospitals in [REDACTED] have expressed interest. Our animal research products would provide the scientific basis and credibility needed to adapt our electrolyte-based drug pump system, derive the clinical opportunity for outside investors, and gain momentum to penetrate into adjacent markets. In 2015, the injectable/intrathecal drug delivery market is estimated to be \$140 (0.4% CAGR). Two top drug pump companies, [REDACTED] and [REDACTED] have expressed interest if we successfully demonstrate our patented micropumping technology. Our system can address a major national problem, improper drug administration which results in the preventable injury of approximately half a million Americans each year, 10,000 deaths annually, and is responsible for 10% of all hospital admissions. The annual costs are estimated at \$140. Our wirelessly enabled drug delivery system for remote automated dosing would personalize the drug regimen in a timely manner either in the clinical or home care setting. With patient compliance guaranteed by automated dosing, the wireless dosing system may offer greater drug efficacy, reduced side effects, improved treatment outcomes, and quality of life. We obtained FDA Humanitarian Use Device designation for our micropumps for intravenous chemotherapies to control pediatric LM (the first step in the expedited Humanitarian Device Exemption regulatory pathway).